

No. **2023-8319**

**Official Order  
of the  
Texas Commissioner of Insurance**

**Date: 11/2/2023**

**Subject Considered:**

Seattle Specialty Insurance Services, Inc  
5630 University Parkway  
Winston-Salem, North Carolina 27105

Consent Order  
TDI Enforcement File No. 32797

**General remarks and official action taken:**

This is a consent order with Seattle Specialty Insurance Services, Inc (Seattle Specialty). Seattle Specialty failed to timely file surplus lines policies and related documents. Seattle Specialty has agreed to pay an administrative penalty of \$5,725 for these violations.

**Waiver**

Seattle Specialty acknowledges that the Texas Insurance Code and other applicable law provide certain rights. Seattle Specialty waives all of these rights, and any other applicable procedural rights, in consideration of the entry of this consent order.

**Findings of Fact**

1. Seattle Specialty, license number 1581080, holds a surplus lines license issued by the Texas Department of Insurance.
2. Pursuant to TEX. INS. CODE § 981.105(a), a surplus lines insurance agent must file with the Surplus Lines Stamping Office of Texas either:
  - a. a copy of the policy issued or
  - b. if the policy has not yet been issued, a copy of the certificate, cover note, or other confirmation of insurance delivered to the insured.

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This information is due not later than the 60th day after the later of the effective date or the issue date of new or renewal surplus lines insurance.

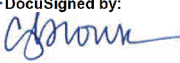
3. In 2022, Seattle Specialty filed surplus lines policies and related documents late.

## Conclusions of Law

1. The commissioner of insurance has jurisdiction over this matter pursuant to TEX. INS. CODE §§ 82.051-82.055, 84.021-84.044, and 981.105.
2. The commissioner of insurance has authority to informally dispose of this matter under TEX. INS. CODE § 82.055, TEX. GOV'T CODE § 2001.056, and 28 TEX. ADMIN. CODE § 1.47.
3. Seattle Specialty has knowingly and voluntarily waived all procedural rights to which it may have been entitled regarding the entry of this order, including, but not limited to, issuance and service of notice of intention to institute disciplinary action, notice of hearing, a public hearing, a proposal for decision, rehearing by the commissioner, and judicial review.
4. Seattle Specialty violated TEX. INS. CODE § 981.105(a) by failing to timely file new or renewal surplus lines policies and related documents.

## Order

It is ordered that Seattle Specialty must pay an administrative penalty of \$5,725 within 30 days from the date of this order. The administrative penalty must be paid as instructed in the invoice, which the department will send after entry of this order.


DocuSigned by:  
  
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\_\_\_\_\_  
Cassie Brown  
Commissioner of Insurance

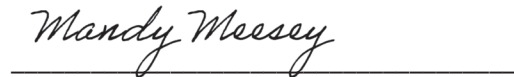
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Recommended and reviewed by:



Leah Gillum, Deputy Commissioner  
Fraud and Enforcement Division



Mandy Meesey, Associate Commissioner  
Enforcement

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## Affidavit

THE STATE OF Virginia §  
§  
COUNTY OF Hampton City §

Before me, the undersigned authority, personally appeared the affiant, who being by me duly sworn, deposed as follows:

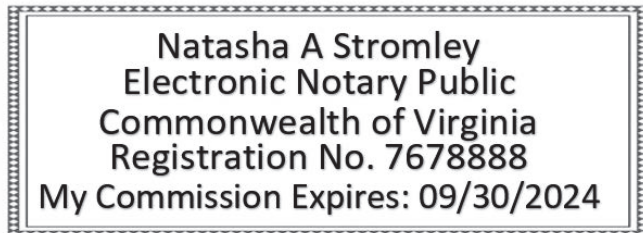
"My name is James P. Novak. I am of sound mind, capable of making this statement, and have personal knowledge of these facts which are true and correct.

Senior Vice President  
I hold the office of and Assistant Secretary and am the authorized representative of Seattle Specialty Insurance Services, Inc. I am duly authorized by the organization to execute this statement.

Seattle Specialty Insurance Services, Inc has knowingly and voluntarily entered into the foregoing consent order and agrees with and consents to the issuance and service of the same by the commissioner of insurance of the state of Texas."

James P. Novak  
Affiant

SWORN TO AND SUBSCRIBED before me on October 23, 2023.  
This notarial act was performed: (check one)  physical presence  remote notarization.  
(NOTARY SEAL)



Natasha A Stromley  
Signature of Notary Public  
Natasha A Stromley  
Printed Name of Notary Public  
My commission expires: 09/30/2024  
Commission #: 7678888

Remotely notarized online using two-way audio-video communication.