

No. **2022-7573**

**Official Order
of the
Texas Commissioner of Insurance**

Date: 11/01/2022

Subject Considered:

RSC Insurance Brokerage, Inc.
160 Federal Street, Floor 4
Boston, Massachusetts 02110

Consent Order
TDI Enforcement File No. 30658

General remarks and official action taken:

This is a consent order with RSC Insurance Brokerage, Inc. RSC Insurance Brokerage, Inc. failed to timely file surplus lines policies and related documents. RSC Insurance Brokerage, Inc. has agreed to pay an administrative penalty of \$4,650 for these violations.

Waiver

RSC Insurance Brokerage, Inc. acknowledges that the Texas Insurance Code and other applicable law provide certain rights. RSC Insurance Brokerage, Inc. waives all of these rights, and any other applicable procedural rights, in consideration of the entry of this consent order.

Findings of Fact

1. RSC Insurance Brokerage, Inc., organization identification number 24928, holds a surplus lines license issued by the Texas Department of Insurance.

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2. Pursuant to TEX. INS. CODE § 981.105(a), a surplus lines insurance agent must file with the Surplus Lines Stamping Office of Texas either:
 - a. a copy of the policy issued or
 - b. if the policy has not yet been issued, a copy of the certificate, cover note, or other confirmation of insurance delivered to the insured.

This information is due not later than the 60th day after the later of the effective date or the issue date of new or renewal surplus lines insurance.

3. In 2021, RSC Insurance Brokerage, Inc. filed surplus lines policies late.
4. The commissioner previously disciplined RSC Insurance Brokerage, Inc. for violating TEX. INS. CODE § 981.105(a) in Official Order No. 2020-6559, entered on November 12, 2020; and in Official Order No. 5704, entered on November 5, 2018; and in Official Order No. 4444, entered on May 6, 2015.

Conclusions of Law

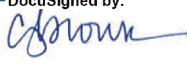
1. The commissioner of insurance has jurisdiction over this matter pursuant to TEX. INS. CODE §§ 82.051-82.055, 84.021-84.044, and 981.105.
2. The commissioner of insurance has authority to informally dispose of this matter under TEX. INS. CODE § 82.055, TEX. GOV'T CODE § 2001.056, and 28 TEX. ADMIN. CODE § 1.47.
3. RSC Insurance Brokerage, Inc. has knowingly and voluntarily waived all procedural rights to which it may have been entitled regarding the entry of this order, including, but not limited to, issuance and service of notice of intention to institute disciplinary action, notice of hearing, a public hearing, a proposal for decision, rehearing by the commissioner, and judicial review.
4. RSC Insurance Brokerage, Inc. violated TEX. INS. CODE § 981.105(a) by failing to timely file new or renewal surplus lines policies and related documents.

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Order

It is ordered that RSC Insurance Brokerage, Inc. must pay an administrative penalty of \$4,650 within 30 days from the date of this order. The administrative penalty must be paid as instructed in the invoice, which the department will send after entry of this order.

DocuSigned by:

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Cassie Brown
Commissioner of Insurance

Recommended and reviewed by:



Leah Gillum, Deputy Commissioner
Fraud and Enforcement Division



Casey Seeboth, Attorney
Enforcement

Affidavit

THE STATE OF Massachusetts §

§

COUNTY OF Suffolk §

Before me, the undersigned authority, personally appeared the affiant, who being by me duly sworn, deposed as follows:

"My name is John Scroope. I am of sound mind, capable of making this statement, and have personal knowledge of these facts which are true and correct.

I hold the office of National Director of Retail Operations and am the authorized representative of RSC Insurance Brokerage, Inc. I am duly authorized by the organization to execute this statement.

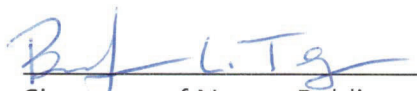
RSC Insurance Brokerage, Inc. has knowingly and voluntarily entered into the foregoing consent order and agrees with and consents to the issuance and service of the same by the commissioner of insurance of the state of Texas."


Affiant

SWORN TO AND SUBSCRIBED before me on October 13th, 2022.

(NOTARY SEAL)




Signature of Notary Public

Brooklynn L. Teague
Printed Name of Notary Public