

2020 - 6248  
No. \_\_\_\_\_

**Official Order  
of the  
Texas Commissioner of Insurance**

**Date:** FEB 26 2020

**Subject Considered:**

R. E. Chaix & Associates Insurance Brokers, Inc.  
3200 El Camino Real Ste. 290  
Irvine, California 92602

Consent Order  
TDI Enforcement File No. 22382

**General remarks and official action taken:**

This is a consent order with R. E. Chaix & Associates Insurance Brokers, Inc. ("R. E. Chaix & Associates"). R. E. Chaix & Associates failed to timely file surplus lines policies and related documents. R. E. Chaix & Associates has agreed to pay a \$5,725 administrative penalty for these violations.

**Waiver**

R. E. Chaix & Associates acknowledges that the Texas Insurance Code and other applicable law provide certain rights. R. E. Chaix & Associates waives all of these rights, and any other applicable procedural rights, in consideration of the entry of this consent order.

**Findings of Fact**

1. R. E. Chaix & Associates, organization identification number 28110, holds a surplus lines license issued by the Texas Department of Insurance.

2. Pursuant to TEX. INS. CODE § 981.105(a), a surplus lines insurance agent must file with the Surplus Lines Stamping Office of Texas either:
  - a. a copy of the policy issued, or
  - b. if the policy has not yet been issued, a copy of the certificate, cover note, or other confirmation of insurance delivered to the insured.

This information is due not later than the 60<sup>th</sup> day after the later of the effective date or the issue date of new or renewal surplus lines insurance.

3. In 2018, R. E. Chaix & Associates filed surplus lines policies late.

### **Conclusions of Law**

1. The commissioner of insurance has jurisdiction over this matter pursuant to TEX. INS. CODE §§ 82.051-82.055, 84.021-84.044, and 981.105.
2. The commissioner of insurance has authority to informally dispose of this matter under TEX. INS. CODE § 82.055, TEX. GOV'T CODE § 2001.056, and 28 TEX. ADMIN. CODE § 1.47.
3. R. E. Chaix & Associates has knowingly and voluntarily waived all procedural rights to which it may have been entitled regarding the entry of this order, including, but not limited to, issuance and service of notice of intention to institute disciplinary action, notice of hearing, a public hearing, a proposal for decision, rehearing by the commissioner, and judicial review.
4. R. E. Chaix & Associates violated TEX. INS. CODE § 981.105(a) by failing to timely file new or renewal surplus lines policies and related documents.

It is ordered that R. E. Chaix & Associates Insurance Brokers, Inc., must pay an administrative penalty of \$5,725. The administrative penalty must be paid within 30 days from the date of this order. The administrative penalty must be paid by cashier's check or money order made payable to the "State of Texas." Mail the administrative penalty to the Texas Department of Insurance, Attn: Enforcement Division, Division 60851, MC 9999, P.O. Box 149104, Austin, Texas 78714-9104.

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Commissioner's Order

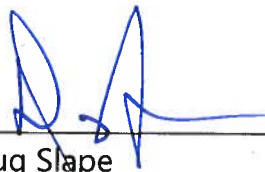
R.E. Chaix & Associates Insurance Brokers, Inc.

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Kent C. Sullivan

Commissioner of Insurance

By:

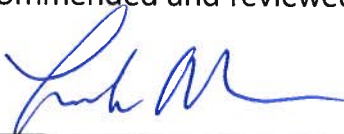


Doug Slape


Chief Deputy Commissioner

Commissioner's Order No. 2018-5528

Recommended and reviewed by:



Leah Gillum, Deputy Commissioner  
Enforcement Division



Anna Kalapach, Attorney  
Enforcement Division

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Commissioner's Order  
R.E. Chaix & Associates Insurance Brokers, Inc.  
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**Affidavit**

THE STATE OF \_\_\_\_\_ §

§

COUNTY OF \_\_\_\_\_ §

Before me, the undersigned authority, personally appeared the affiant, who being by me duly sworn, deposed as follows:

"My name is Timothy Chaix. I am of sound mind, capable of making this statement, and have personal knowledge of these facts which are true and correct.

I hold the office of CEO and am the authorized representative of R. E. Chaix & Associates Insurance Brokers, Inc. I am duly authorized by the organization to execute this statement.

R. E. Chaix & Associates Insurance Brokers, Inc., has knowingly and voluntarily entered into the foregoing consent order and agrees with and consents to the issuance and service of the same by the commissioner of insurance of the state of Texas."

Timothy E. Chaix [Signature]  
Affiant

SWORN TO AND SUBSCRIBED before me on November 20, 2019.

(NOTARY SEAL)



Tracy R. Spencer  
Signature of Notary Public

Tracy R. Spencer  
Printed Name of Notary Public