

**Exhibit A – Rate Filing Checklist****Company name:** \_\_\_\_\_**Company NAIC number:** \_\_\_\_\_**Line:** \_\_\_\_\_

**All rate filings** – The following items are required in filings except short track filings and filings for insurers that qualify for reduced filing requirements under 28 Texas Administrative Code (TAC) Chapter 5, Subchapter M, Division 9

- Transmittal information
- Rates, supplementary rating information, and fees
- Filing memorandum
- Rate filing checklist (Exhibit A)
- Rate change information (Exhibit C)
- SERFF rate data
- Loss cost information for reference filings (Exhibit G)
- Actuarial memorandum
- Actuarial support
  - Rate indications (overall, coverage, form, or peril)
  - Relativity analysis (territory, driver class, etc.)
  - Other actuarial support
- Policyholder impact information (for owner-occupied homeowners and personal automobile)
- Average rate change by county (Exhibit County, for owner-occupied homeowners)
- Historical premium and loss information (Exhibit D)
- Expense information, including disallowed expense adjustment (Exhibit E, all lines except workers' compensation and mortgage guaranty)
- Expense information (Exhibit F, for mortgage guaranty and workers' compensation)
- Profit provision information (Exhibit L)
- Certification (Exhibit MG, for mortgage guaranty)
- Workers' compensation information (Exhibit WC)
- Support for use of credit scoring (28 TAC Section 5.9941, CS Exhibit)
- Support for territory rating (28 TAC Section 5.9960, Territory Exhibits)

**Company name:** \_\_\_\_\_

**Company NAIC number:** \_\_\_\_\_

**Line:** \_\_\_\_\_

**Short track filings** – The following items are required if the filing qualifies as a short track filing, in which limited information is needed to determine compliance with Texas statutes and rules. 28 TAC Section 5.9331(b)(4).

- Transmittal information
- Rates, supplementary rating information, and fees
- Filing memorandum
- Rate filing checklist (Exhibit A)
- Rate change information (Exhibit C)
- SERFF rate data
- Side-by-side comparison or a mark up, if applicable

**Division 9 rate filings** – The following items are required in a filing for insurers that qualify for reduced filing requirements under 28 TAC Chapter 6, Subchapter M, Division 9.

- Transmittal information
- Certification (EC-1, residential property)
- Certification (EC-2, personal automobile)
- Rates, supplementary rating information, and fees
- Filing memorandum
- Rate filing checklist (Exhibit A)
- Rate change information (Exhibit C)
- SERFF rate data
- Loss cost information for reference filings (Exhibit G)
- Policyholder impact information (for owner-occupied homeowners and personal automobile)
- Average rate change by county (Exhibit County, for owner-occupied homeowners)

**Division 10 additional requirements for certain county mutual insurers** – The following item is required in addition to those for all rate filings for county mutual insurers described by Texas Insurance Code Sections 912.056(d) and (e).

- Additional Information for Certain County Mutuals (Exhibit CM)