# **Appraisal Umpire Roster Application**

TDI maintains an appraisal umpire roster for Texas Windstorm Insurance Association (TWIA) claims. If appraisers for a TWIA claim cannot agree on an umpire, TDI uses the roster to select one.

#### Instructions for completing and submitting the application are at the end of this form.

# Part 1: Name and contact information

Applicant full name					
Company name					
Mailing address (Can't be a PO Box)					
City	State	ZIP	County		
Main phone Alternate phone					
Email address					

#### **Consent to post on website**

I do do not consent to posting my mailing address, phone number, email address, qualifications, geographic preferences, and claim preferences on TDI's appraisal umpire <u>roster</u>.

If you **do not** consent to post your contact information, please select the information you are willing to post:

Mailing Address

Phone number

Email Address

Qualifications

Geographic preferences

Claim preferences

None of the above

If you select "None of the above," TDI will add only your name to the roster.

#### Questions

Email PropertyCasualty@tdi.texas.gov.

# **Part 2: Qualifications**

Required qualifications (Check all that apply)
1. Engineer:
Active: License number, if applicable
Retired
Inactive
2. Architect:
Active: License number, if applicable
Retired
Inactive Inactive
3. Adjuster or public adjuster licensed with TDI
Active: License number, if applicable
Retired
Inactive
4. General contractor
5. 🗌 Licensed attorney: Bar number
6. Current or former judge: Court of record or SOAH
Preferred qualifications

1. Have you appraised property damage claims?

Yes No

- 2. Have you acted as an appraisal umpire on at least three property damage claims in the previous 12 months?
  - Yes No

#### **Part 3: Experience**

List **building construction** training and experience related to building construction, repair, estimating, or investigating property damage. Specify whether experience is residential or commercial. Attach additional pages if needed.

List **property damage claims** training and experience related to estimating property damage claims. Specify whether experience is residential or commercial. Attach additional pages if needed.

### **Part 4: Preferences**

#### **Geographic preferences**

- 1. I will work in **any** Texas county listed below.
- 2. I will work **only** in the Texas counties checked below:

Aransas	Brazoria	Calhoun
Cameron	Chambers	Galveston
Harris	Jefferson	🗌 Kenedy
Kleberg	🗌 Matagorda	Nueces
Refugio	San Patricio	Willacy

Claim preferences (Check all claim areas in which you will accept an assignment)

Roofing	All commercial claims
All residential claims	Commercial claims under \$1M
Residential claims under \$250,000	Commercial claims over \$1M
Residential claims from \$250,000 to \$1M	
Residential claims over \$1M	

# Part 5: Conflicts of interest

#### **Disqualifying conflict**

1. Are you a current TWIA employee, contractor, or contractor's employee?

Yes		No
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2. Do you currently have an open claim, or act as a representative or public adjuster on an open claim with TWIA?

Yes No

3. Are you a current TWIA claimant's employee, contractor, or contractor's employee?

Yes No

4. Are you a party to or represent a party to a current lawsuit with TWIA?



5. Do you have any other direct or indirect interest, financial or otherwise, of any nature that substantially conflicts with the umpire's duties?

Yes		No
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6. Are you related to (within third degree by consanguinity or second degree by affinity)<sup>1</sup> a current TWIA employee?

Yes No

7. Are you related to (within third degree by consanguinity or second degree by affinity)<sup>1</sup> a current TWIA contractor or contractor's employee?

Yes		No
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<sup>1</sup>To determine consanguinity or affinity, refer to Government Code Chapter 573, Subchapter B.

#### **Potential conflicts**

1. Are you a former TWIA employee, contractor, or contractor's employee?

Yes No

2. Are you a current TWIA policyholder?

	Yes		No
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3. Have you previously filed a claim with TWIA?

Yes		Nc
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4. Are you a current employee or contractor of an insurance company or public insurance adjusting company?

Yes No

- 5. Are you a party to or have you represented a party to a lawsuit with TWIA within the previous five years?
- 6. Are you related to (within third degree by consanguinity or second degree by affinity)<sup>1</sup> a former TWIA employee?

Yes No

7. Are you related to (within third degree by consanguinity or second degree by affinity)<sup>1</sup> a former TWIA contractor or contractor's employee?

Yes No

<sup>1</sup>To determine consanguinity or affinity, refer to <u>Government Code Chapter 573, Subchapter B</u>.

#### If you answered "yes" to any of the Conflicts, provide more information below.

If applicable, provide the requested information. (Attach additional pages if needed.)

I am a former TWIA employee: Dates of employment \_\_\_\_\_\_

I am a former TWIA contractor: Dates of employment

I am related to a former TWIA employee or contractor:

Name

Relation

Dates of employment

Name

I am a current TWIA policyholder.

I filed a past claim with TWIA. Date of claim \_\_\_\_\_

Brief description of claim:

] I am a current employee or contractor of an insurance company or public insurance adjusting company.

Company name		

Description of current job:

] I was a party or represented a party to a lawsuit involving TWIA within the last five years. Give details and dates for each instance.

# Part 6: Disciplinary and criminal background

List any **professional disciplinary actions and criminal convictions**. Include the date of the action or conviction, any cause number or proceeding number, and the name of the court or disciplinary authority. If none, please enter **None** below.

# **Certification and agreement**

By signing below, you agree to comply with <u>Texas Administrative Code, Title 28, Part 1, Chapter 5, Subchapter</u> <u>E, Division 4</u>, including the following obligations:

- Comply with the ethical requirements in 28 TAC Section 5.4218(f); and
- Notify TDI of a disqualifying conflict of interest within 10 days of learning about the conflict.

By signing below, you also agree that all information in your application is true and accurate. You release TDI, the Commissioner, TDI employees, and agents from any and all liability, claims, and lawsuits with respect to the information submitted or obtained by TDI in connection with your application.

Signature

Date

**Your rights:** You can request information we have about you by emailing <u>OpenRecords@tdi.texas.gov</u> or writing to: Public Information Coordinator, Texas Department of Insurance, PO Box 12030 (mail code GC-ORO) Austin, Texas 78711-2030. You also have the right to ask that we fix information we have about you that is wrong. To ask for a correction, send (1) your name, mailing address, and your phone number, (2) details about what needs to be fixed, and (3) the reason or proof showing why the information is wrong. Send this by email to <u>RecordCorrections@tdi.texas.gov</u> or by mail to: Record Correction Request, Texas Department of Insurance, PO Box 12030 (mail code CO-AAL-CC), Austin, Texas 78711-2030.

# How to apply

To be added to the roster, send the complete application by email to <u>ChiefClerk@tdi.texas.gov</u> or mail to:

Chief Clerk, MC-GC-CCO Texas Department of Insurance Appraisal Umpire Roster Application PO Box 12030 Austin, Texas 78701-2030

Applicants must meet required qualifications and have no disqualifying conflicts. Below are more details.

### **Required qualifications**

To qualify as an umpire, you must be one of the following:

- An engineer or architect with experience and training in building construction, repair, estimating, or investigation of property damage.
- An adjuster or public adjuster with experience and training in estimating property damage.
- A general contractor with experience and training in building construction, repair, or estimating property damage.
- A licensed attorney.
- A current or former judge of any Texas court of record or the State Office of Administrative Hearings (SOAH).

#### **Preferred qualifications**

Before assigning you to a claim, TDI will take into consideration if you have:

- Experience appraising property damage claims.
- Acted as an appraisal umpire on at least three property damage claims in the previous 12 months.

# **Disqualifying conflicts**

You cannot be added to the roster if you:

- Are a current TWIA or claimant employee.
- Are a current TWIA or claimant contractor, or contractor's employee, except it is not a conflict for you to be a contractor solely to work on the pending appraisal.

- Are related to (within third degree by consanguinity or second degree by affinity)<sup>1</sup>.
  - A current TWIA employee;
  - A current TWIA contractor or contractor's employee;
  - The claimant or a representative of the claimant;
  - A current claimant employee; or
  - A current claimant contractor or contractor's employee.
- Currently have an open claim, or act as a representative or public adjuster on an open claim with TWIA.
- Are a party to or represent a party to a current lawsuit with TWIA.
- Adjusted the loss or acted as a public adjuster on the loss involved in the claim.
- Are related to the adjuster or public adjuster who adjusted the loss.
- Are an employee of the adjusting company or public insurance adjusting company that adjusted the loss or represented the claimant on the loss.
- Have any other direct or indirect interest, financial or otherwise, of any nature that substantially conflicts with the umpire's duties.

<sup>1</sup>To determine degrees of consanguinity or affinity, please refer to <u>Texas Government Code Chapter 573</u>, Subchapter B.

#### **Potential conflicts**

Before assigning you to a claim, TDI will take into consideration if you:

- Are a former TWIA or claimant employee, contractor, or contractor's employee.
- Are related to (within third degree by consanguinity or second degree by affinity)1:
  - o a former TWIA employee;
  - o a former TWIA contractor or contractor's employee;
  - o a former claimant employee; or
  - o a former claimant contractor or contractor's employee.
- Are a current TWIA policyholder.
- Previously filed a claim with TWIA.
- Are a current employee or contractor of an insurance company or public insurance adjusting company.
- Were a party or represented a party in a lawsuit with TWIA within the previous five years.

<sup>1</sup>To determine degrees of consanguinity or affinity, please refer to <u>Texas Government Code Chapter 573, Subchapter B</u>.

#### **Application review process**

TDI will review your application to determine if it meets the requirements. TDI will notify you in writing if your application is **not complete**. You may submit the additional information or a revised application. TDI reserves the right to request additional information from you as necessary.

# Notice and term

If approved, you will be on the roster for a **term of three years**. To remain on the roster for additional terms, you must submit an updated application to TDI. You are not on the roster until TDI sends you written notice that you are placed on the roster.

# Appraisal umpire roster and assignment

- TDI will publish the roster on its website.
- TDI can review your performance at any time.
- TDI may, in its sole discretion, remove you from the roster.
- You can request removal from the roster at any time.
- If TDI places you on the roster, it does not guarantee that TDI will assign you to a claim.

#### Disqualification

- You must notify TDI in writing of any material or potentially material changes in your application information.
- You must notify TDI of a disqualifying conflict within 10 days of learning about it.
- TDI will review disciplinary and criminal background information using the guidelines in Texas Administrative Code, <u>Title 28, Part 1, Ch. 1, Subchapter D, Section 1.502</u>.
- TDI may disqualify you if you:
  - o do not meet the roster requirements;
  - o submit false or inaccurate information; or
  - o fail to disclose disciplinary and criminal background information.

# **Release of applications**

All applications become TDI's property and may be subject to release under the Texas Public Information Act, <u>Texas Government Code Chapter 552</u>.