TDI | Texas Department of Insurance

LIFE SETTLEMENT PROVIDER DATA REPORT

1. **This annual report is due no later than March 1st. Refer to Texas Insurance Code Section 1111A.006.**

2. **Each provider must submit an annual report, whether or not any reportable transactions occurred.**

3. **Report transactions based on insureds who are Texas residents.**

4. **Numerical fields will only allow numbers; if the value is none or N/A, use the number 0.**

5. **Prior to submission, you may save with a unique name and print a copy for your records.**

6. **If the e-mail or print does not work, check the following:**
   This form uses Java Script. You must download, then fill out the form. Do not fill out on your browser.
   Make sure your PDF reader is capable of running Java Script.
   Make sure Java Script is enabled.
   Make sure your PDF reader is updated to the latest version.
   For further assistance, please contact your company's IT support.

7. **Do not submit a hard copy in the mail. This is a submit by e-mail form. When submitting by e-mail, the form will attach as an .xml data attachment, not the form itself. The recipient is automatically populated, just click on the send button. You will not receive a confirmation e-mail.**

Reporting Year: _____

Provider Information

TDI License Number: _____

Provider Name: _____

Address: _____

City: _____ State: _____ Zip Code: _____

Contact Information

Contact Name: _____

E-mail: _____

Phone Number: _____

Fax Number: _____

☐ Check this box if no reportable transactions occurred during the applicable reporting periods.

28 TAC §3.1760(b)(3)(A)-(C)

Policy Issue Year	Total Number of Life Settlement Contracts entered into during:	Aggregate Face Amount of Policies Settled during:	Proceeds of Life Settlement Contract entered into during:
Past Year (2019)			
2 years ago (2018)			
3 years ago (2017)			
4 years ago (2016)			
5 years ago (2015)			

28 TAC §3.1760(3)(D)

Full Name of each Insurance Company whose policies have been settled:	Full Name of each Broker that settled the policies:

28 TAC §3.1760(3)(E)

Name of Life Settlement Broker who estimated Life Expectancies for a Life Settlement Contract	Life Settlement Broker's TDI License Number

Submit by E-mail