

No. **2025-9150**

**Official Order  
of the  
Texas Commissioner of Insurance**

**Date: 02/25/2025**

**Subject Considered:**

RiskEval Resources, LLC  
3 Softwood Way  
Warren, New Jersey 07059-6791

Default Order  
TDI Enforcement File No. 36072

**General Remarks and Official Action Taken:**

This is a default order taken against RiskEval Resources, LLC (Respondent) because it failed to comply with requirements to maintain its third-party administrator (TPA) certificate of authority. Respondent did not respond to a Notice of Allegations mailed by the Texas Department of Insurance. This order revokes Respondent's license.

The following findings of fact and conclusions of law are adopted.

**Findings of Fact**

Failure to Respond to Notice of Allegations

1. On November 15, 2024, the department sent a Notice of Allegations, attached as Exhibit A, to Respondent.
2. The department sent the Notice of Allegations to Respondent's last known address provided in writing to the department, 3 Softwood Way, Warren, New Jersey 07059-6791.
3. Respondent received and waived an opportunity for a hearing because Respondent failed to send the department a written response to the Notice of Allegations within 20 days after the date the Notice of Allegations was mailed.

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4. The department's factual allegations set out in the attached Notice of Allegations are incorporated in this order as findings of fact.

## Conclusions of Law

1. The commissioner has jurisdiction under Texas law, including TEX. INS. CODE §§ 82.051–82.055, 4151.051, 4151.056, 4151.205, 4151.206, 4151.212, 4151.301–4151.309; TEX. GOV'T CODE §§ 2001.051–2001.178, 2001.003(1); and 28 TEX. ADMIN. CODE §§ 7.1601, 7.1603, and 7.1609.
2. The commissioner has authority to dispose of this case informally under TEX. GOV'T CODE § 2001.056; TEX. INS. CODE § 82.055; and 28 TEX. ADMIN. CODE § 1.47.
3. The department provided proper notice to Respondent under TEX. GOV'T CODE §§ 2001.003(1), 2001.051, 2001.052, 2001.054, and 2001.056(4), and 28 TEX. ADMIN. CODE §§ 1.28 and 1.47.
4. Based on Respondent's failure to send the department a written response to the Notice of Allegations, the department is entitled to disposition by default under 28 TEX. ADMIN. CODE § 1.47.
5. Respondent failed to show compliance with the law.
6. The department's factual and legal allegations set out in the attached Notice of Allegations are incorporated in this order and deemed admitted as true under 28 TEX. ADMIN. CODE § 1.47.

## Order

It is ordered that the third-party administrator certificate of authority held by RiskEval Resources, LLC is revoked. It is also ordered that RiskEval Resources, LLC cease and desist engaging in the business of a third-party administrator in Texas.

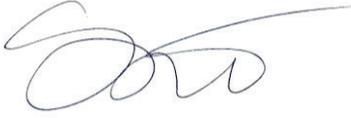
Signed by:  
  
FC5D7EDDFB4F8...

Cassie Brown  
Commissioner of Insurance

**2025-9150**

Commissioner's Order  
RiskEval Resources, LLC  
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Prepared and reviewed by:

A handwritten signature in black ink, appearing to read 'SD', with a long horizontal flourish extending to the right.

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Stephanie Daniels, Staff Attorney  
Enforcement

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## Affidavit

**STATE OF TEXAS** §

§

**COUNTY OF TRAVIS** §

Before me, the undersigned authority, personally appeared Roxanne Rodriguez, who, being by me duly sworn, deposed as follows:

"My name is Roxanne Rodriguez and I am employed by the Texas Department of Insurance. I am of sound mind, capable of making this affidavit, and have personal knowledge of these facts which are true and correct.

I have reviewed TDI's records concerning RiskEval Resources, LLC. I have confirmed that:

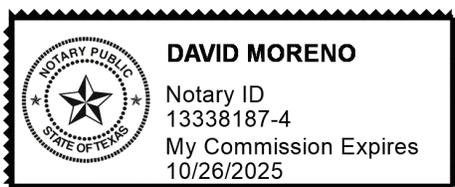
- a. The last mailing address provided to the department in writing by RiskEval Resources, LLC is 3 Softwood Way, Warren, New Jersey 07059-6791.
- b. The file maintained by Enforcement contains a Notice of Allegations dated November 15, 2024, which was sent to RiskEval Resources, LLC.
- c. On November 15, 2024, the Notice of Allegations addressed to RiskEval Resources, LLC were mailed first-class and certified, return receipt requested, to the last known address.

Copies of the first-class mail log and certified mail log maintained by Enforcement are attached as Exhibit B and Exhibit C, respectively."

Signed by:  
  
F00D567B41AA40D...  
Affiant

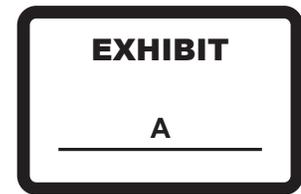
SWORN TO AND SUBSCRIBED before me by means of an interactive two-way audio and video communication on 1/17/2025. This notarial act was an online notarization.

### Notary Seal



### Digital Certificate

DocuSigned by:  
  
455E4109E15D4CD...  
Notary Public State of Texas



November 15, 2024

RiskEval Resources, LLC  
3 Softwood Way  
Warren, New Jersey 07059-6791

Via CM/RRR No. 9214 8901 9403 8388 4649 60  
Via Email: Jmcsorley@riskevalresources.com  
Via First Class Mail

**TDI ENFORCEMENT CASE NO. 36072**  
**NOTICE OF ALLEGATIONS AGAINST RISKEVAL RESOURCES, LLC**

The Texas Department of Insurance (TDI) seeks to take disciplinary action against RiskEval Resources, LLC to revoke its certificate of authority to act as a third party administrator. This Notice states the allegations against RiskEval Resources, LLC and the relief sought by TDI.

**YOUR WRITTEN RESPONSE IS REQUIRED WITHIN 20 DAYS**

**YOU HAVE THE RIGHT TO A HEARING AND ARE INVITED TO SHOW COMPLIANCE WITH THE LAW.** To request a hearing, you must send a written response to TDI within 20 days of the date this Notice was mailed.

If you fail to send a written response by the deadline, *you waive your right to a hearing*, and TDI may seek disposition by default under 28 TEX. ADMIN. CODE § 1.47, TEX. INS. CODE § 82.055, and TEX. GOV'T. CODE § 2001.056.

If you fail to send a written response by the deadline, without further notice to you, the commissioner of insurance may issue a default order that admits the factual matters asserted, deems all allegations as true, and orders the relief recommended in this Notice.

You must send your written response by mail, fax, or email to:

Stephanie Daniels, Staff Attorney  
Texas Department of Insurance  
Enforcement, MC ENF  
P.O. Box 12030  
Austin, Texas 78711-2030  
(512) 490-1020 (Fax)  
Stephanie.Daniels@tdi.texas.gov

## Jurisdiction

The commissioner of insurance has jurisdiction over this matter under TEX. INS. CODE §§ 82.051–82.055, 4151.051, 4151.056, 4151.205, 4151.206, 4151.212, 4151.301–4151.309; TEX. GOV'T CODE §§ 2001.051–2001.178; 28 TEX. ADMIN. CODE §§ 7.1601, 7.1603, and 7.1609; and TEX. GOV'T CODE § 2001.003(1).

## Factual Allegations

1. On August 29, 2018, TDI issued RiskEval Resources, LLC third party administrator (TPA) certificate of authority no. 2989318.
2. Texas law states that TPA certificates of authority are effective until suspended, canceled, or revoked.
3. TPAs must file annual reports by June 30th of each year covering the preceding calendar year. The report must include an audited financial statement performed by an independent certified public accountant.
4. TPAs must pay a non-refundable \$200 filing fee for each annual report.
5. A TPA is subject to discipline, including revocation of its certificate of authority, if it fails to timely file its annual report.
6. RiskEval Resources, LLC failed to file its annual reports and pay the associated \$200 filing fees for calendar years 2019–2023.
7. On November 2, 2017, RiskEval Resources, LLC filed an application for registration as a foreign limited liability company with the Texas Secretary of State.
8. On March 10, 2023, the Texas Secretary of State forfeited the registration of RiskEval Resources, LLC.

## Legal Allegations

1. RiskEval Resources, LLC failed to timely file annual TPA reports by June 30th on a form prescribed by the commissioner, in violation of TEX. INS. CODE §§ 4151.205 and 4151.301(14), and 28 TEX. ADMIN. CODE § 7.1609.

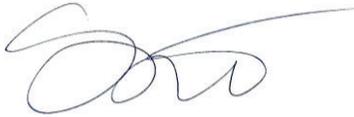
2. RiskEval Resources, LLC failed to pay the annual \$200 TPA filing fee in violation of TEX. INS. CODE § 4151.206(a)(3) and 28 TEX. ADMIN. CODE § 7.1609(a).
3. RiskEval Resources, LLC failed to maintain at all times the qualifications for a certificate of authority, as required by TEX. INS. CODE § 4151.212 and 28 TEX. ADMIN. CODE § 7.1607(e).
4. RiskEval Resources, LLC has willfully violated an insurance law of this state, as contemplated by TEX. INS. CODE § 4151.301(1).
5. RiskEval Resources, LLC has willfully violated a commissioner rule, as contemplated by TEX. INS. CODE § 4151.301(10).

### **Relief Sought**

TDI seeks the following relief:

1. revocation of your TPA certificate of authority;
2. an order mandating you cease and desist from engaging in the business of insurance in Texas; and
3. imposition of any other just and appropriate relief to which the department may be entitled to by law, including any combination of the above actions.

Respectfully,



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Stephanie Daniels  
State Bar No. 24107343  
Texas Department of Insurance  
Enforcement, MC ENF  
P.O. Box 12030  
Austin, Texas 78711-2030  
(512) 676-6334 (Direct)  
(512) 490-1020 (Fax)  
Stephanie.Daniels@tdi.texas.gov

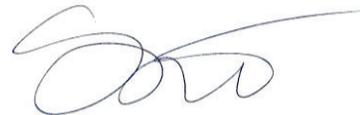
cc: Leah Gillum, Deputy Commissioner, Fraud and Enforcement Division, MC: ENF  
Ginger Loeffler, Litigation Director, Enforcement, MC: ENF

**CERTIFICATE OF SERVICE**

I, Stephanie Daniels, certify that a true and correct copy of this *Notice of Allegations Against RiskEval Resources, LLC* was sent by the following methods on this 15th day of November, 2024 to:

RiskEval Resources, LLC  
3 Softwood Way  
Warren, New Jersey 07059-6791

*Via CM/RRR No.:*  
9214 8901 9403 8388 4649 60  
*Via First Class Mail*  
*Via Email:* Jmcsorley@RiskEvalResources.com



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Stephanie Daniels

Firm Mailing Book For Accountable Mail

**Name and Address of Sender**  
 Texas Dept of Insurance  
 Mail Code ENF  
 1601 Congress Avenue, Suite 6.900  
 Austin TX 78701  
 Mary Ruiz, November 15, 2024

- Check type of mail or service
- Adult Signature Required
  - Add Signature Restricted Delivery
  - Certified Mail
  - Certified Mail Restricted Delivery
  - Collect on Delivery (COD)
  - Insured Mail
  - Priority Mail
  - First-Class Mail
  - Priority Mail Express
  - Registered Mail
  - Return Receipt for Merchandise
  - Signature Confirmation
  - Signature Confirmation Restricted Delivery

**EXHIBIT**  
**B**

Affix Stamp Here  
 (if issued as an international certificate of mailing or for additional copies of this receipt). Postmark with Date of Receipt

USPS Tracking/Article Number	Address (Name, Street, City, State, & Zip Code)	Postage	(Extra Service) Fee	Handling Charge	Actual Value if Registered	Insured Value	Due Sender if COD	ASR Fee	AF Fee	SH Fee
DANIELS/36072	RISKEVAL RESOURCES, LLC 3 SOFTWOOD WAY WARREN, NEW JERSEY 07059-6791	69.								
				Handling Charge						
				Adult Signature Required						
				Adult Signature Restricted Delivery						
				Return Receipt						
				Signature Confirmation						
				Signature Confirmation Restricted Delivery						
				Restricted Delivery						
				Special Handling						

CORRECTION  
 \$002.00  
 11/18/2024 ZIP 78701  
 046M220695  
 quadrant

RECEIVED  
 NOV 19 2024  
 TDI - ENFORCEMENT

12345678910111213141516171819202122232425262728293031  
 CAPTAIN STATION  
 NOV 2024  
 AUSTIN, TX  
 11811

Total Number of Pieces  
 Total Number of Pieces Received at Post Office  
 Postmaster: Per (Name of receiving employee)  
 Completed in Ink

Privacy Note: For more information on USPS privacy policies, visit usps.com/privacy policy.

2025-9150

EXHIBIT

C



Shipment Confirmation  
Acceptance Notice

A. Mailer Action

Note to Mailer: The labels and volume associated to this form online, must match the labeled packages being presented to the USPS® employee with this form.

Shipment Date: 11/15/2024

Shipped From:

Name: ENF M RUIZ

Address: 1601 CONGRESS AVENUE

City: AUSTIN

State: TX ZIP+4® 78701

Type of Mail	Volume
Priority Mail Express®	
Priority Mail®	
First-Class Package Service®	
Returns	
International*	
Other	1
Total	1

\*Start time for products with service guarantees will begin when mail arrives at the local Post Office™ and items receive individual processing and acceptance scans.

B. USPS Action

Note to RSS Clerk:

1. Home screen > Mailing/Shipping > More
2. Select Shipment Confirm
3. Scan or enter the barcode/label number from PS Form 5630
4. Confirm the volume count message by selecting Yes or No
5. Select Pay and End Visit to complete transaction

USPS EMPLOYEE: Please scan upon pickup or receipt of mail.  
Leave form with customer or in customer's mail receptacle.

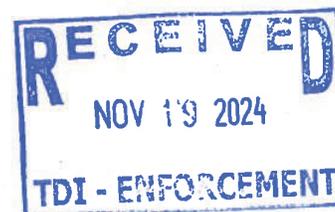
USPS SCAN AT ACCEPTANCE



9275 0901 1935 6200 0057 0816 48



DANIELS/36072





Firm Mailing Book For Accountable Mail

Name and Address of Sender

ENF M RUIZ  
1601 CONGRESS AVENUE  
STE 6.900  
AUSTIN TX 78701

Check type of mail or service

- Adult Signature Required
- Adult Signature Restricted Delivery
- Certified Mail
- Certified Mail Restricted Delivery
- Collect on Delivery (COD)
- Insured Mail
- Priority Mail
- Priority Mail Express
- Registered Mail
- Return Receipt for Merchandise
- Signature Confirmation
- Signature Confirmation Restricted Delivery

Affix Stamp Here  
(for additional copies of this receipt).  
Postmark with Date of Receipt.

USPS Tracking/Article Number

1. 9214 8901 9403 8388 4649 80

Addressee (Name, Street, City, State, & ZIP Code™)

RISKEVAL RESOURCES, LLC  
3 SOFTWOOD WAY  
WARREN, NEW JERSEY 07059-6791

DANIELS/36072

Postage	(Extra Service) Fee	Handling Charge	Actual Value if Registered	Insured Value	Due Sender if COD	ASR Fee	ASRD Fee	RD Fee	RR Fee	SC Fee	SCRD Fee	SH Fee
0.69	4.85	Handling Charge - if Registered and over \$50,000 in value							2.62			
						Adult Signature Required	Adult Signature Restricted Delivery	Restricted Delivery	Return Receipt	Signature Confirmation	Signature Confirmation Restricted Delivery	Special Handling



Postmaster, Per (Name of receiving employee)

Total Number of Pieces Received at Post Office: 1

*Ybarra*

PS Form 3877, January 2017 (Page 1 of 1) Complete in Ink

PSN 7530-02-000-9098

Jobid: 5459405

Privacy Notice: For more information on USPS privacy policies, visit [usps.com/privacypolicy](https://usps.com/privacypolicy).