

No. **2024-8893**

**Official Order
of the
Texas Commissioner of Insurance**

Date: 10/07/2024

Subject Considered:

Willis Towers Watson Southeast, Inc.
26 Century Blvd. Ste. 101
Nashville, Tennessee 37214

Consent Order
TDI Enforcement File No. 35293

General remarks and official action taken:

This is a consent order with Willis Towers Watson Southeast, Inc. (Willis Southeast). Willis Southeast failed to timely file surplus lines policies and related documents. Willis Southeast has agreed to pay an administrative penalty of \$3,250 for these violations.

Waiver

Willis Southeast acknowledges that the Texas Insurance Code and other applicable law provide certain rights. Willis Southeast waives all of these rights, and any other applicable procedural rights, in consideration of the entry of this consent order.

Findings of Fact

1. Willis Southeast, license number 19445, holds a surplus lines license issued by the Texas Department of Insurance.
2. Pursuant to TEX. INS. CODE § 981.105(a), a surplus lines insurance agent must file with the Surplus Lines Stamping Office of Texas either:
 - a. a copy of the policy issued, or
 - b. if the policy has not yet been issued, a copy of the certificate, cover note, or other confirmation of insurance delivered to the insured.

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This information is due not later than the 60th day after the later of the effective date or the issue date of new or renewal surplus lines insurance.

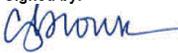
3. In 2023, Willis Southeast filed surplus lines policies and related documents late.

Conclusions of Law

1. The commissioner of insurance has jurisdiction over this matter pursuant to TEX. INS. CODE §§ 82.051-82.055, 84.021-84.044, and 981.105.
2. The commissioner of insurance has authority to informally dispose of this matter under TEX. INS. CODE § 82.055, TEX. GOV'T CODE § 2001.056, and 28 TEX. ADMIN. CODE § 1.47.
3. Willis Southeast has knowingly and voluntarily waived all procedural rights to which it may have been entitled regarding the entry of this order, including, but not limited to, issuance and service of notice of intention to institute disciplinary action, notice of hearing, a public hearing, a proposal for decision, rehearing by the commissioner, and judicial review.
4. Willis Southeast violated TEX. INS. CODE § 981.105(a) by failing to timely file new or renewal surplus lines policies and related documents.

Order

It is ordered that Willis Towers Watson Southeast, Inc. must pay an administrative penalty of \$3,250 within 30 days from the date of this order. The administrative penalty must be paid as instructed in the invoice, which the department will send after entry of this order.

Signed by:

FC5D7EDDFB4F8...

Cassie Brown

Commissioner of Insurance

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Recommended and reviewed by:



Leah Gillum, Deputy Commissioner
Fraud and Enforcement Division



Victor Moya III, Attorney
Enforcement

Affidavit

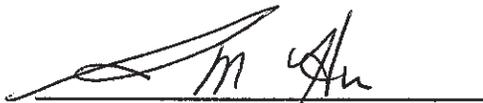
THE STATE OF New Jersey §
§
COUNTY OF Sumner §

Before me, the undersigned authority, personally appeared the affiant, who being by me duly sworn, deposed as follows:

"My name is Susan M. Hu. I am of sound mind, capable of making this statement, and have personal knowledge of these facts which are true and correct.

I hold the office of Vice President and am the authorized representative of Willis Towers Watson Southeast, Inc. I am duly authorized by the organization to execute this statement.

Willis Towers Watson Southeast, Inc. has knowingly and voluntarily entered into the foregoing consent order and agrees with and consents to the issuance and service of the same by the commissioner of insurance of the state of Texas."

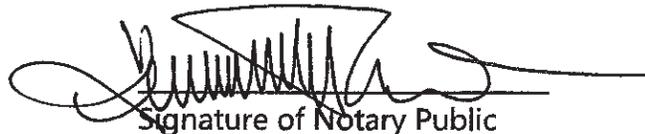


Affiant

SWORN TO AND SUBSCRIBED before me on September 26, 2024.

(NOTARY SEAL)

KIMBERLY R TAURIELLO
Notary Public
State of New Jersey
My Commission Expires Feb. 14, 2029
I.D.# 2443094


Signature of Notary Public

Kimberly R. Tauriello
Printed Name of Notary Public