

No. **2023-8226**

**Official Order
of the
Texas Commissioner of Insurance**

Date: 9/21/2023

Subject Considered:

CAA Insurance Services LLC
6805 N Capital of Texas Hwy Ste 320
Austin, Texas, 78731-1790

Consent Order
TDI Enforcement File No. 32794

General remarks and official action taken:

This is a consent order with CAA Insurance Services LLC. CAA Insurance Services LLC failed to timely file surplus lines policies and related documents. CAA has agreed to pay an administrative penalty of \$7,375 for these violations.

Waiver

CAA Insurance Services LLC acknowledges that the Texas Insurance Code and other applicable law provide certain rights. CAA Insurance Services LLC waives all of these rights, and any other applicable procedural rights, in consideration of the entry of this consent order.

Findings of Fact

1. CAA Insurance Services LLC, license number 2196894, holds a surplus lines license issued by the Texas Department of Insurance.
2. Pursuant to TEX. INS. CODE § 981.105(a), a surplus lines insurance agent must file with the Surplus Lines Stamping Office of Texas either:
 - a. a copy of the policy issued or

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CAA Insurance Services LLC

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- b. if the policy has not yet been issued, a copy of the certificate, cover note, or other confirmation of insurance delivered to the insured.

This information is due not later than the 60th day after the later of the effective date or the issue date of new or renewal surplus lines insurance.

3. In 2022, CAA Insurance Services LLC filed surplus lines policies and related documents late.

Conclusions of Law

1. The commissioner of insurance has jurisdiction over this matter pursuant to TEX. INS. CODE §§ 82.051-82.055, 84.021-84.044, and 981.105.
2. The commissioner of insurance has authority to informally dispose of this matter under TEX. INS. CODE § 82.055, TEX. GOV'T CODE § 2001.056, and 28 TEX. ADMIN. CODE § 1.47.
3. CAA Insurance Services LLC has knowingly and voluntarily waived all procedural rights to which it may have been entitled regarding the entry of this order, including, but not limited to, issuance and service of notice of intention to institute disciplinary action, notice of hearing, a public hearing, a proposal for decision, rehearing by the commissioner, and judicial review.
4. CAA Insurance Services LLC violated TEX. INS. CODE § 981.105(a) by failing to timely file new or renewal surplus lines policies and related documents.

Order

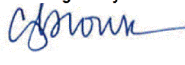
It is ordered that CAA Insurance Services LLC must pay an administrative penalty of \$7,375 within 30 days from the date of this order. The administrative penalty must be paid as instructed in the invoice, which the department will send after entry of this order.

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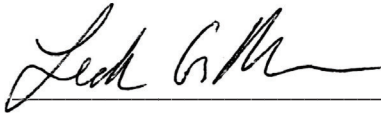
CAA Insurance Services LLC

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DocuSigned by:

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Cassie Brown
Commissioner of Insurance

Recommended and reviewed by:



Leah Gillum, Deputy Commissioner
Fraud and Enforcement Division



William Odojin, Attorney
Enforcement

Affidavit

THE STATE OF Texas §

§

COUNTY OF Travis §

Before me, the undersigned authority, personally appeared the affiant, who being by me duly sworn, deposed as follows:

"My name is Julie LaChance I am of sound mind, capable of making this statement, and have personal knowledge of these facts which are true and correct.

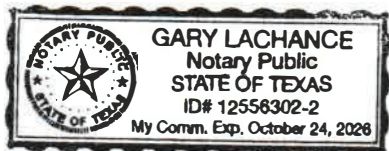
I hold the office of Accounting Administrator and am the authorized representative of CAA Insurance Services LLC. I am duly authorized by the organization to execute this statement.

CAA Insurance Services LLC has knowingly and voluntarily entered into the foregoing consent order and agrees with and consents to the issuance and service of the same by the commissioner of insurance of the state of Texas."

Julie LaChance
Affiant

SWORN TO AND SUBSCRIBED before me on September 5th, 2023.

(NOTARY SEAL)



Gary LaChance
Signature of Notary Public

Gary LaChance
Printed Name of Notary Public