

No. 2018- 5769

**OFFICIAL ORDER
of the
TEXAS COMMISSIONER OF INSURANCE**

Date: DEC 1 2 2018

Subject Considered:

WILLIS OF TEXAS, LLC
15305 Dallas Parkway, Suite No. 1100
Addison, Texas 75001

CONSENT ORDER
TDI ENFORCEMENT FILE NO. 17239

General remarks and official action taken:

The subject of this order is whether disciplinary action should be taken against Willis of Texas, LLC.

WAIVER

Willis of Texas, LLC acknowledges that the Texas Insurance Code and other applicable law provide certain rights. Willis of Texas, LLC waives all of these rights, and any other applicable procedural rights, in consideration of the entry of this consent order.

FINDINGS OF FACT

1. Willis of Texas, LLC, organization identification number 11467, holds a surplus lines license issued by the Texas Department of Insurance.
2. Pursuant to TEX. INS. CODE § 981.105(a), a surplus lines insurance agent must file with the Surplus Lines Stamping Office of Texas either:
 - a. a copy of the policy issued, or
 - b. if the policy has not yet been issued, a copy of the certificate, cover note, or other confirmation of insurance delivered to the insured.

This information is due not later than the 60th day after the later of the effective date or the issue date of new or renewal surplus lines insurance.

3. ~~In 2017, Willis of Texas, LLC filed surplus lines policies late.~~
4. The commissioner previously disciplined Willis of Texas, LLC for violating TEX. INS. CODE § 981.105(a) in Official Order No. 10-0996, entered on November 17, 2010, and in Official Order No. 3334, entered on June 11, 2014.

CONCLUSIONS OF LAW

1. The commissioner of insurance has jurisdiction over this matter pursuant to TEX. INS. CODE §§ 82.051-82.055, 84.021-84.044, and 981.105.
2. The commissioner of insurance has authority to informally dispose of this matter under TEX. INS. CODE § 82.055, TEX. GOV'T CODE § 2001.056, and 28 TEX. ADMIN. CODE § 1.47.
3. Willis of Texas, LLC has knowingly and voluntarily waived all procedural rights to which it may have been entitled regarding the entry of this order, including, but not limited to, issuance and service of notice of intention to institute disciplinary action, notice of hearing, a public hearing, a proposal for decision, rehearing by the commissioner, and judicial review.
4. Willis of Texas, LLC violated TEX. INS. CODE § 981.105(a) by failing to timely file new or renewal surplus lines policies and related documents.

It is ordered that Willis of Texas, LLC must pay an administrative penalty of \$7,225. The administrative penalty must be paid within 30 days from the date of this order. The administrative penalty must be paid by cashier's check or money order made payable to the "State of Texas." Mail the administrative penalty to the Texas Department of Insurance, Attn: Enforcement Section, Division 60851, MC 9999, P.O. Box 149104, Austin, Texas 78714-9104.



Kent C. Sullivan
Commissioner of Insurance

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COMMISSIONER'S ORDER

Willis of Texas, LLC

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For the Department:



Whitney Fraser, Staff Attorney

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Affidavit

THE STATE OF New York §

§

COUNTY OF New York §

Before me, the undersigned authority, personally appeared the affiant, who being by me duly sworn, deposed as follows:

"My name is Susan Hu. I am of sound mind, capable of making this statement, and have personal knowledge of these facts which are true and correct.

I hold the office of Vice President and am the authorized representative of Willis of Texas, LLC. I am duly authorized by the organization to execute this statement.

Willis of Texas, LLC has knowingly and voluntarily entered into the foregoing consent order and agrees with and consents to the issuance and service of the same by the commissioner of insurance of the state of Texas."



Affiant

REGINA M THOMAS
NOTARY PUBLIC STATE OF NEW YORK
RICHMOND COUNTY
LIC. #01TH6036186
COMM. EXP. Jan. 18, 2022

SWORN TO AND SUBSCRIBED before me on 22nd Oct., 2018.

(NOTARY STAMP)



Signature of Notary Public