

Checklist for Withdrawal of Statutory Deposit

You must submit the following documents to withdraw securities on deposit due to a reduction, dissolution, merger, or cancellation of the company's Certificate of Authority. Submit completed documents in the order listed below.

► Requirements

1. [Statutory Deposit Transaction Form \(FIN407\)](#)
2. **TDI approval of the dissolution, merger, or cancellation** of company's Certificate of Authority and evidence that the insurer has withdrawn from business and does not have any unsecured liabilities outstanding or potential policyholder liabilities or obligations in another state, country, or province, and other supporting documentation.
3. **A cover letter on company letterhead explaining the transaction being submitted.** Include the company and custodian contact information (name, telephone number, and email). If securities are held at the Texas Treasury Safekeeping Trust, specify where proceeds of withdrawn or matured securities should be delivered or wired.
4. 📧 Email the complete filing to SDFilings@tdi.texas.gov.

► Questions?

Email us at SDFilings@tdi.texas.gov or call the Company Licensing and Registration Office at 512-676-6365.