

Biographical Affidavit Update

A company officer or director for an entity may use this form to notify the Texas Department of Insurance if he/she has changed position and/or company(s). The officer or director must have a biographical affidavit and fingerprints on file with the Texas Department of Insurance. If filing this form for more than one company attach a list of the companies and the positions held to this form.

First Name _____ Middle Name _____ Last Name _____

Company Name _____ NAIC Number _____

Position with Company _____

In responding to the following, if the record has been sealed or expunged, and the affiant has personally verified that the record was sealed or expunged, an affiant may respond "no" to the question. If you have any doubt about the accuracy of an answer, the question should be answered in the positive and an explanation provided.

1. Excluding traffic violations and first offense DUI/DWI:

a. Do you currently have any pending misdemeanor or felony charges (by indictment, information, or any other instrument) filed against you in Texas, in any other state or by the federal government?

Yes No

b. Have you ever been convicted of any misdemeanor or felony offense in Texas, in any other state or by the federal government?

Yes No

c. Have you ever had adjudication deferred on any misdemeanor or felony charge or offense in Texas, in any other state or by the federal government?

Yes No

d. Have you ever served any period of probation for any misdemeanor or felony offense in Texas, in any other state or by the federal government?

Yes No

If you answered "Yes" to any of questions 1a -d, you must submit original certified copies of the charging document, indictment, information, or any other charging document, judgment of conviction, and/or deferred adjudication order, probation order, order terminating probation, community supervision and/or parole certificate for each and every crime or offense. If the court states they no longer have the records, please have the court provide us with a letter on their letterhead stating that fact. If you were arrested only and not prosecuted, please provide a records search from the appropriate jurisdiction indicating a final disposition. You must submit a statement describing the circumstances leading to the offense(s). You must include your age at the time(s) of the offense(s). You may provide letters of recommendations from any persons in contact with you that are aware of your criminal past.

In responding to the following, if you answer "Yes", please attach copies of documentation and separate pages providing the details including names, contact information, dates, locations, dispositions, or any other pertinent information.

2. Have you or has any corporation, partnership, association or firm in which you were a director, officer, shareholder, manager, member or partner, ever been the subject of an administrative or legal action filed by Texas or any other insurance department, or financial regulatory agency, or of an action filed on behalf of Texas or any other state or by the federal government based on alleged violations of state or federal insurance, securities or financial regulatory laws that you have not previously reported to?

Yes No

Dated and signed this _____ day of _____, 20 _____

I hereby certify under penalty of perjury that I am acting on my own behalf, and that the foregoing statements are true and correct to the best of my knowledge and belief.

Signature of Affiant

State of _____

County of _____

Before me, _____, a notary public in and for the state, on this day personally appeared _____, known to me or proved to me on the oath of _____ or through _____ (description of identity card) to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that s(he) executed the same for the purpose and consideration therein expressed.

Given under my hand seal of office this _____ day of _____, 20 _____.

Notary Public

Printed Name

My commission expires _____