

No. **2023-8261**

**Official Order  
of the  
Texas Commissioner of Insurance**

**Date: 10/3/2023**

**Subject Considered:**

Aon Risk Services Southwest Inc  
4 Overlook Pt  
Lincolnshire, IL 60069-4302

Consent Order  
TDI Enforcement File No. 32803

**General remarks and official action taken:**

This is a consent order with Aon Risk Services Southwest Inc. Aon Risk Services Southwest Inc failed to timely file surplus lines policies and related documents. Aon Risk Services Southwest Inc has agreed to pay an administrative penalty of \$7,575 for these violations.

**Waiver**

Aon Risk Services Southwest Inc acknowledges that the Texas Insurance Code and other applicable law provide certain rights. Aon Risk Services Southwest Inc waives all of these rights, and any other applicable procedural rights, in consideration of the entry of this consent order.

**Findings of Fact**

1. Aon Risk Services Southwest Inc, license number 9267, holds a surplus lines license issued by the Texas Department of Insurance.
2. Pursuant to TEX. INS. CODE § 981.105(a), a surplus lines insurance agent must file with the Surplus Lines Stamping Office of Texas either:
  - a. a copy of the policy issued or

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- b. if the policy has not yet been issued, a copy of the certificate, cover note, or other confirmation of insurance delivered to the insured.

This information is due not later than the 60<sup>th</sup> day after the later of the effective date or the issue date of new or renewal surplus lines insurance.

3. In 2022, Aon Risk Services Southwest Inc filed surplus lines policies and related documents late.
4. TDI previously disciplined Aon Risk Services Southwest Inc for violating TEX. INS. CODE § 981.105(a) in Official Order No. 12-0108 entered February 6, 2012, Official Order No. 4525 entered on June 21, 2016, Official Order No. 2022-7224 entered on February 15, 2022, and Official Order No. 2022-7601 entered on November 7, 2022.

## Conclusions of Law

1. The commissioner of insurance has jurisdiction over this matter pursuant to TEX. INS. CODE §§ 82.051-82.055, 84.021-84.044, and 981.105.
2. The commissioner of insurance has authority to informally dispose of this matter under TEX. INS. CODE § 82.055, TEX. GOV'T CODE § 2001.056, and 28 TEX. ADMIN. CODE § 1.47.
3. Aon Risk Services Southwest Inc has knowingly and voluntarily waived all procedural rights to which it may have been entitled regarding the entry of this order, including, but not limited to, issuance and service of notice of intention to institute disciplinary action, notice of hearing, a public hearing, a proposal for decision, rehearing by the commissioner, and judicial review.
4. Aon Risk Services Southwest Inc violated TEX. INS. CODE § 981.105(a) by failing to timely file new or renewal surplus lines policies and related documents.

## Order

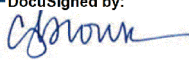
It is ordered that Aon Risk Services Southwest Inc must pay an administrative penalty of \$7,575 within 30 days from the date of this order. The administrative penalty must be paid as instructed in the invoice, which the department will send after entry of this order.

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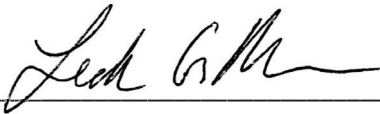
Aon Risk Services Southwest Inc

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DocuSigned by:  
  
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Cassie Brown  
Commissioner of Insurance

Recommended and reviewed by:



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Leah Gillum, Deputy Commissioner  
Fraud and Enforcement Division



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Casey Dick, Attorney  
Enforcement

**Affidavit**

THE STATE OF Illinois

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COUNTY OF Lake

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Before me, the undersigned authority, personally appeared the affiant, who being by me duly sworn, deposed as follows:

"My name is Michelle A. Maguer. I am of sound mind, capable of making this statement, and have personal knowledge of these facts which are true and correct.

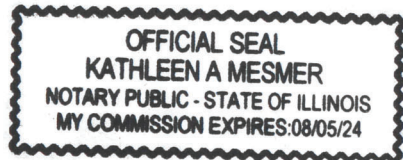
I hold the office of AVP Surplus Lines and am the authorized representative of Aon Risk Services Southwest Inc. I am duly authorized by the organization to execute this statement.

Aon Risk Services Southwest Inc has knowingly and voluntarily entered into the foregoing consent order and agrees with and consents to the issuance and service of the same by the commissioner of insurance of the state of Texas."

Michelle Maguer  
Affiant

SWORN TO AND SUBSCRIBED before me on September 14, 2023.

(NOTARY SEAL)



Kathleen A. Mesmer  
Signature of Notary Public

Kathleen A. Mesmer  
Printed Name of Notary Public