No. 2019 6091

# OFFICIAL ORDER of the TEXAS COMMISSIONER OF WORKERS' COMPENSATION

Date: SEP 2 7 2019

Subject Considered:

### **AUSTIN TRAVIS COUNTY EMS**

15 Waller Street, Floor 2 Austin, Texas 78702-5240

### CONSENT ORDER TDI-DWC ENFORCEMENT FILE NO. 20937

#### General remarks and official action taken:

The commissioner of workers' compensation (commissioner) considers whether disciplinary action should be taken against Austin Travis County EMS (Austin EMS).

### WAIVER

Austin EMS acknowledges that the Texas Labor Code and other applicable laws provide certain rights. Austin EMS waives all of these rights and any other applicable procedural rights in consideration of the entry of this consent order.

### FINDINGS OF FACT

The commissioner makes the following findings of fact:

- Austin EMS is a health care provider operating within the Texas workers' compensation system.
- Austin EMS was not selected to be tiered in the 2007, 2009, 2011, 2013, 2015, or 2017 Performance Based Oversight (PBO) assessments.

## IMPROPERLY PURSUING A PRIVATE CLAIM AGAINST AN INJURED EMPLOYEE

3.	with a workers' compensation injury		
4.	Austin EMS sent a bill on employee for health care services it is	in the amount of \$	to the injured

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- 5. The Texas Department of Insurance, Division of Workers' Compensation (DWC) sent a letter on the injured employee was for services related to a workers' compensation claim and that it should immediately cease billing the injured employee and instead bill the insurance carrier.
- 6. Austin EMS sent a bill on services it provided to the injured employee. to the injured employee.
- No finding had been made determining that the injured employee had violated Tex. Lab.
   Code § 408.022 in selecting a treating doctor, nor had there been a final adjudication that the claim was not compensable.

#### ASSESSMENT OF SANCTION

- Improperly billing an injured employee for health care services imposes an undue financial burden on the injured employee and circumvents the Texas workers' compensation system and the roles of its system participants.
- In assessing the sanction for this case, DWC appropriately and fully considered the following factors set forth in Tex. Lab. Code § 415.021(c) and 28 Tex. Admin. Code § 180.26(e):
  - the seriousness of the violation, including the nature, circumstances, consequences, extent, and gravity of the prohibited act;
  - · the history and extent of previous administrative violations;
  - the demonstrated good faith of the violator, including actions taken to rectify the consequences of the prohibited act;
  - the penalty necessary to deter future violations;
  - whether the administrative violation has negative impact on the delivery of benefits to an injured employee;
  - the history of compliance with electronic data interchange requirements;
  - · other matters that justice may require, including but not limited to:
    - o PBO assessments;
    - the promptness and earnestness of actions to prevent future violations;
    - o self-report of the violation;
    - o the size of the company or practice;
    - o the effect of a sanction on the availability of health care; and
    - evidence of heightened awareness of the legal duty to comply with the Texas Workers' Compensation Act and DWC rules; and
  - to the extent reasonable, the economic benefit resulting from the prohibited act.
- 10. In assessing the sanction for this case, DWC found the following factors set forth in TEX. LAB. CODE § 415.021(c) and 28 TEX. ADMIN. CODE § 180.26(e) to be aggravating: the seriousness of the violation, including the nature, circumstances, consequences, extent, and gravity of the prohibited act; the penalty necessary to deter future violations; and other

- matters that justice may require, including but not limited to the promptness and earnestness of actions to prevent future violations.
- In assessing the sanction for this case, DWC found the following factors set forth in Tex. Lab. Code § 415.021(c) and 28 Tex. Admin. Code § 180.26(e) to be mitigating: respondent does not have a history of violations; and the demonstrated good faith of the violator, including actions taken to rectify the consequences of the prohibited act, as the healthcare provider has enacted a follow-up process to check for errors and discrepancies.
- 12. Austin EMS acknowledges that it has communicated with DWC regarding the relevant statutes and rules violated; the facts establishing that the administrative violation occurred; and the appropriateness of the proposed sanction, including how DWC considered the factors under Tex. Lab. Code § 415.021(c) and 28 Tex. Admin. Code § 180.26(e).
- Austin EMS acknowledges that, in assessing the sanction, DWC considered the factors set forth in Tex. Lab. Code § 415.021(c) and 28 Tex. Admin. Code § 180.26(e).

### CONCLUSIONS OF LAW

The commissioner makes the following conclusions of law:

- The commissioner has jurisdiction over this matter pursuant to TEX. LAB. CODE §§ 402.001, 402.00111, 402.00114, 402.00116, 402.00128, 414.002, 414.003, 415.002, and 415.021.
- The commissioner has authority to informally dispose of this matter as set forth under Tex. GOV'T CODE § 2001.056, Tex. LAB. CODE § 402.00128(b)(7), and 28 Tex. ADMIN. CODE § 180.26(h).
- Pursuant to TEX. LAB. CODE § 415.021, the commissioner may assess an administrative penalty against a person who commits an administrative violation.
- Pursuant to TEX. LAB. CODE § 415.003(6), a health care provider commits an administrative violation if it fails to comply with a provision of the Texas Workers' Compensation Act.
- Pursuant to Tex. Lab. Code § 413.042, a health care provider commits an administrative violation if it pursues a private claim against a workers' compensation claimant for all or part of the cost of a health care service provided to the injured employee by the provider unless the injury is finally adjudicated as not compensable or the employee violates Tex. Lab. Code § 408.022 relating to the selection of a treating doctor.
- Austin EMS violated Tex. Lab. Code §§ 413.042 and 415.003(6), when it improperly billed an injured employee for workers' compensation health care services provided.

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### ORDER

Austin Travis County EMS is ORDERED to pay an administrative penalty of \$2,500 within 30 days from the date of this Order.

The administrative penalty must be paid by company check, cashier's check, or money order made payable to the "State of Texas." Mail the administrative penalty to the Texas Department of Insurance, Attn: DWC Enforcement, MC 9999, P.O. Box 149104, Austin, Texas, 78714-9104.

Cassie Brown Ne

Commissioner of Workers' Compensation

Approved as to Form and Content:

Amy Norman

Staff Attorney, DWC Enforcement

Texas Department of Insurance

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### **AFFIDAVIT**

STATE OF_	Te	<i>Yas</i>	§
COUNTY OF	1	ravis	§

Before me, the undersigned authority, personally appeared the affiant, who being by me duly sworn, deposed as follows:

"My name is Kurri Lora . I am of sound mind, capable of making this statement, and have personal knowledge of these facts which are true and correct.

I hold the office of Assistant Director, and am the authorized representative of Austin Travis County EMS. I am duly authorized by said organization to execute this statement.

Austin Travis County EMS waives rights provided by the Texas Labor Code and other applicable laws, and acknowledges the jurisdiction of the Texas commissioner of workers' compensation.

Austin Travis County EMS is voluntarily entering into this consent order. Austin Travis County EMS consents to the issuance and service of this consent order."

Affiant

SWORN TO AND SUBSCRIBED before me on August 13, 2019

(NOTARY SEAL)

JACQUELINE R. HAYS

Notary Public, State of Texas

Comm. Expires 12-28-2021

Notary ID 8338126

Signature of Notary Public

Printed Mame of Notary Public

My Commission Expires: 12-28-202(