

No. **2021-6653**

**Official Order
of the
Texas Commissioner of Insurance**

Date: 01/15/2021

Subject Considered:

Willis of Texas, Inc.
15305 Dallas Parkway
Suite No. 1100
Dallas, TX 75001

Consent Order
TDI Enforcement File No. 25948

General remarks and official action taken:

This is a consent order with Willis of Texas, Inc, authorized by successor company Willis Towers Watson Insurance Services West, Inc. Willis of Texas, Inc. failed to timely file surplus lines policies and related documents. Willis of Texas, Inc. has agreed to pay a \$7,025 administrative penalty for these violations.

Waiver

Willis of Texas, Inc. acknowledges that the Texas Insurance Code and other applicable law provide certain rights. Willis of Texas, Inc. waives all of these rights, and any other applicable procedural rights, in consideration of the entry of this consent order.

Findings of Fact

1. Willis of Texas, Inc., organization identification number 11467, held a surplus lines license issued by the Texas Department of Insurance, which was canceled on June 22, 2020.
2. Willis Towers Watson Insurance Services West, Inc., organization identification number 16800, acquired the assets and liabilities of Willis of Texas, Inc. on June 22, 2020.

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3. Pursuant to TEX. INS. CODE § 981.105(a), a surplus lines insurance agent must file with the Surplus Lines Stamping Office of Texas either:
 - a. a copy of the policy issued or
 - b. if the policy has not yet been issued, a copy of the certificate, cover note, or other confirmation of insurance delivered to the insured.

This information is due not later than the 60th day after the later of the effective date or the issue date of new or renewal surplus lines insurance.

4. In 2019, Willis of Texas, Inc. filed surplus lines policies late.
5. The commissioner previously disciplined Willis of Texas, Inc. for violating TEX. INS. CODE § 981.105(a) in Official Order No. 10-0996, entered on November 17, 2010; Official Order No. 3334, entered on June 11, 2014; Official Order No. 5769, entered on December 12, 2018; and Official Order No. 6255, entered on February 26, 2020.

Conclusions of Law

1. The commissioner of insurance has jurisdiction over this matter pursuant to TEX. INS. CODE §§ 82.051-82.055, 84.021-84.044, and 981.105.
2. The commissioner of insurance has authority to informally dispose of this matter under TEX. INS. CODE § 82.055, TEX. GOV'T CODE § 2001.056, and 28 TEX. ADMIN. CODE § 1.47.
3. Willis of Texas, Inc. has knowingly and voluntarily waived all procedural rights to which it may have been entitled regarding the entry of this order, including, but not limited to, issuance and service of notice of intention to institute disciplinary action, notice of hearing, a public hearing, a proposal for decision, rehearing by the commissioner, and judicial review.
4. Willis of Texas, Inc. violated TEX. INS. CODE § 981.105(a) by failing to timely file new or renewal surplus lines policies and related documents.

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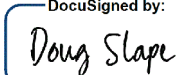
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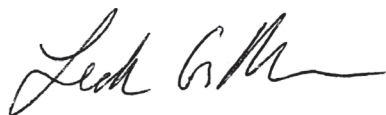
Order

It is ordered that Willis of Texas, Inc. must pay an administrative penalty of \$7,025. The administrative penalty must be paid within 30 days from the date of this order. The administrative penalty must be paid by cashier's check or money order made payable to the "State of Texas." Mail the administrative penalty to the Texas Department of Insurance, Attn: Enforcement Division, Division 60851, MC 9999, P.O. Box 149104, Austin, Texas 78714-9104.

Commissioner of Insurance

DocuSigned by:

By: C77A87C8C21B435...
Doug Slape
Chief Deputy Commissioner
TEX. GOV'T CODE § 601.002
Commissioner's Order No. 2018-5528

Recommended and reviewed by:



Leah Gillum, Deputy Commissioner
Enforcement Division



Nancy Williams, Attorney
Enforcement Division

Affidavit

THE STATE OF TENNESSEE §
§
COUNTY OF DAVIDSON §

Before me, the undersigned authority, personally appeared the affiant, who being by me duly sworn, deposed as follows:

"My name is Susan Hu. I am of sound mind, capable of making this statement, and have personal knowledge of these facts which are true and correct.

I hold the office of Vice President and am the authorized representative of Willis Towers Watson Insurance Services West, Inc. As an officer of the successor company which acquired the assets and liabilities of Willis of Texas, Inc., I am duly authorized to execute this statement.

Willis Towers Watson Insurance Services West, Inc. has knowingly and voluntarily entered into the foregoing consent order and agrees with and consents to the issuance and service of the same by the commissioner of insurance of the state of Texas."



Affiant

SWORN TO AND SUBSCRIBED before me on November 19, 2020.
(virtually)

(NOTARY SEAL)



Signature of Notary Public

Tracie T. Hite

Printed Name of Notary Public