

No. **2023-8251**

**Official Order
of the
Texas Commissioner of Insurance**

Date: 10/3/2023

Subject Considered:

Roanoke Insurance Group Inc.
1475 E Woodfield Rd Ste 500
Schamburg, IL 60173

Consent Order
TDI Enforcement File No. 32806

General remarks and official action taken:

This is a consent order with Roanoke Insurance Group Inc. Roanoke Insurance Group Inc. failed to timely file surplus lines policies and related documents. Roanoke Insurance Group Inc. has agreed to pay an administrative penalty of \$3,800 for these violations.

Waiver

Roanoke Insurance Group Inc. acknowledges that the Texas Insurance Code and other applicable law provide certain rights. Roanoke Insurance Group Inc. waives all of these rights, and any other applicable procedural rights, in consideration of the entry of this consent order.

Findings of Fact

1. Roanoke Insurance Group Inc., license number 16204, holds a surplus lines license issued by the Texas Department of Insurance.
2. Pursuant to TEX. INS. CODE § 981.105(a), a surplus lines insurance agent must file with the Surplus Lines Stamping Office of Texas either:
 - a. a copy of the policy issued or

- b. if the policy has not yet been issued, a copy of the certificate, cover note, or other confirmation of insurance delivered to the insured.

This information is due not later than the 60th day after the later of the effective date or the issue date of new or renewal surplus lines insurance.


3. In 2022, Roanoke Insurance Group Inc. filed surplus lines policies and related documents late.

Conclusions of Law

1. The commissioner of insurance has jurisdiction over this matter pursuant to TEX. INS. CODE §§ 82.051-82.055, 84.021-84.044, and 981.105.
2. The commissioner of insurance has authority to informally dispose of this matter under TEX. INS. CODE § 82.055, TEX. GOV'T CODE § 2001.056, and 28 TEX. ADMIN. CODE § 1.47.
3. Roanoke Insurance Group Inc. has knowingly and voluntarily waived all procedural rights to which it may have been entitled regarding the entry of this order, including, but not limited to, issuance and service of notice of intention to institute disciplinary action, notice of hearing, a public hearing, a proposal for decision, rehearing by the commissioner, and judicial review.
4. Roanoke Insurance Group Inc. violated TEX. INS. CODE § 981.105(a) by failing to timely file new or renewal surplus lines policies and related documents.

Order

It is ordered that Roanoke Insurance Group Inc. must pay an administrative penalty of \$3,800 within 30 days from the date of this order. The administrative penalty must be paid as instructed in the invoice, which the department will send after entry of this order.

DocuSigned by:

FC5D7EDDFFB4F8...

Cassie Brown
Commissioner of Insurance

Commissioner's Order
Roanoke Insurance Group Inc.
Page 3 of 4

Recommended and reviewed by:



Leah Gillum, Deputy Commissioner
Fraud and Enforcement Division



Casey Dick, Attorney
Enforcement

Commissioner's Order
Roanoke Insurance Group Inc.
Page 4 of 4

Affidavit

THE STATE OF Illinois §

COUNTY OF Cook §

Before me, the undersigned authority, personally appeared the affiant, who being by me duly sworn, deposed as follows:

"My name is James Kalotkas. I am of sound mind, capable of making this statement, and have personal knowledge of these facts which are true and correct.

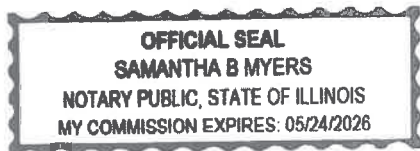
I hold the office of CFO and am the authorized representative of Roanoke Insurance Group Inc. I am duly authorized by the organization to execute this statement.

Roanoke Insurance Group Inc. has knowingly and voluntarily entered into the foregoing consent order and agrees with and consents to the issuance and service of the same by the commissioner of insurance of the state of Texas."

James Kalotkas
Affiant

SWORN TO AND SUBSCRIBED before me on SEPT 20, 2023.

(NOTARY SEAL)



Samantha B Myers
Signature of Notary Public
SAMANTHA B. MYERS
Printed Name of Notary Public