

No. **2022-7551**

**Official Order  
of the  
Texas Commissioner of Insurance**

**Date: 10/11/2022**

**Subject Considered:**

Louis A Williams & Associates Inc  
P.O. Drawer 1309  
Marshall, Texas 75670

Consent Order  
TDI Enforcement File No. 30632

**General remarks and official action taken:**

This is a consent order with Louis A Williams & Associates Inc (Williams & Associates). Williams & Associates failed to timely file surplus lines policies and related documents. Williams & Associates has agreed to pay an administrative penalty of \$3,250.00 for these violations.

**Waiver**

Williams & Associates acknowledges that the Texas Insurance Code and other applicable law provide certain rights. Williams & Associates waives all of these rights, and any other applicable procedural rights, in consideration of the entry of this consent order.

**Findings of Fact**

1. Williams & Associates, organization identification number 11450, holds a surplus lines license issued by the Texas Department of Insurance.
2. Pursuant to TEX. INS. CODE § 981.105(a), a surplus lines insurance agent must file with the Surplus Lines Stamping Office of Texas either:
  - a. a copy of the policy issued or

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- b. if the policy has not yet been issued, a copy of the certificate, cover note, or other confirmation of insurance delivered to the insured.

This information is due not later than the 60<sup>th</sup> day after the later of the effective date or the issue date of new or renewal surplus lines insurance.

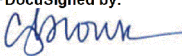
3. In 2021, Williams & Associates filed surplus lines policies late.

## Conclusions of Law

1. The commissioner of insurance has jurisdiction over this matter pursuant to TEX. INS. CODE §§ 82.051–82.055, 84.021–84.044, and 981.105.
2. The commissioner of insurance has authority to informally dispose of this matter under TEX. INS. CODE § 82.055, TEX. GOV'T CODE § 2001.056, and 28 TEX. ADMIN. CODE § 1.47.
3. Williams & Associates has knowingly and voluntarily waived all procedural rights to which it may have been entitled regarding the entry of this order, including, but not limited to, issuance and service of notice of intention to institute disciplinary action, notice of hearing, a public hearing, a proposal for decision, rehearing by the commissioner, and judicial review.
4. Williams & Associates violated TEX. INS. CODE § 981.105(a) by failing to timely file new or renewal surplus lines policies and related documents.

## Order

It is ordered that Louis A Williams & Associates Inc must pay an administrative penalty of \$3,250.00 within 30 days from the date of this order. The administrative penalty must be paid as instructed in the invoice, which the department will send after entry of this order.

DocuSigned by:  
  
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Cassie Brown  
Commissioner of Insurance

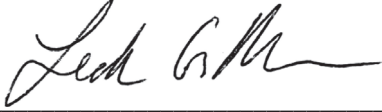
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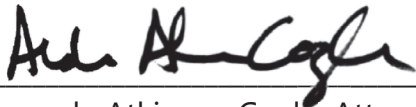
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Recommended and reviewed by:



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Leah Gillum, Deputy Commissioner  
Fraud and Enforcement Division



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Amanda Atkinson Cagle, Attorney  
Enforcement

**Affidavit**

THE STATE OF Texas

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COUNTY OF Harrison

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Before me, the undersigned authority, personally appeared the affiant, who being by me duly sworn, deposed as follows:

"My name is Chris Burke. I am of sound mind, capable of making this statement, and have personal knowledge of these facts which are true and correct.

I hold the office of CEO and am the authorized representative of Louis A Williams & Associates Inc. I am duly authorized by the organization to execute this statement.

Louis A Williams & Associates Inc has knowingly and voluntarily entered into the foregoing consent order and agrees with and consents to the issuance and service of the same by the commissioner of insurance of the state of Texas."



Affiant

SWORN TO AND SUBSCRIBED before me on Oct. 4th, 2022.

(NOTARY SEAL)



Faye McIntosh  
Signature of Notary Public

Faye McIntosh  
Printed Name of Notary Public