

2020 - 6257

No. _____

**Official Order
of the
Texas Commissioner of Insurance**

Date: FEB 26 2020

Subject Considered:

Brookside General Insurance Services Inc.
P.O. Box 77758
Stockton, CA 95267

Consent Order
TDI Enforcement File No. 22380

General remarks and official action taken:

This is a consent order with Brookside General Insurance Services Inc (Brookside General). Brookside General failed to timely file surplus lines policies and related documents. Brookside General has agreed to pay an \$11,225 administrative penalty for these violations.

Waiver

Brookside General acknowledges that the Texas Insurance Code and other applicable law provide certain rights. Brookside General waives all of these rights, and any other applicable procedural rights, in consideration of the entry of this consent order.

Findings of Fact

1. Brookside General, organization identification number 101246, holds a surplus lines license issued by the Texas Department of Insurance.

2. Pursuant to TEX. INS. CODE § 981.105(a), a surplus lines insurance agent must file with the Surplus Lines Stamping Office of Texas either:
 - a. a copy of the policy issued, or
 - b. if the policy has not yet been issued, a copy of the certificate, cover note, or other confirmation of insurance delivered to the insured.

This information is due not later than the 60th day after the later of the effective date or the issue date of new or renewal surplus lines insurance.

3. In 2018, Brookside General filed surplus lines policies late.

Conclusions of Law

1. The commissioner of insurance has jurisdiction over this matter pursuant to TEX. INS. CODE §§ 82.051-82.055, 84.021-84.044, and 981.105.
2. The commissioner of insurance has authority to informally dispose of this matter under TEX. INS. CODE § 82.055, TEX. GOV'T CODE § 2001.056, and 28 TEX. ADMIN. CODE § 1.47.
3. Brookside General has knowingly and voluntarily waived all procedural rights to which it may have been entitled regarding the entry of this order, including, but not limited to, issuance and service of notice of intention to institute disciplinary action, notice of hearing, a public hearing, a proposal for decision, rehearing by the commissioner, and judicial review.
4. Brookside General violated TEX. INS. CODE § 981.105(a) by failing to timely file new or renewal surplus lines policies and related documents.

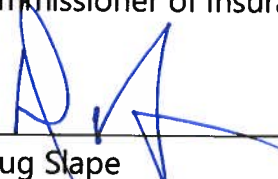
It is ordered that Brookside General Insurance Services Inc. must pay an administrative penalty of \$11,225. The administrative penalty must be paid within 30 days from the date of this order. The administrative penalty must be paid by cashier's check or money order made payable to the "State of Texas." Mail the administrative penalty to the Texas Department of Insurance, Attn: Enforcement Division, Division 60851, MC 9999, P.O. Box 149104, Austin, Texas 78714-9104.

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Commissioner's Order
Brookside General Insurance Services Inc.
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Kent C. Sullivan
Commissioner of Insurance

By:



Doug Slape
Chief Deputy Commissioner
Commissioner's Order No. 2018-5528

Recommended and reviewed by:



Leah Gillum, Deputy Commissioner
Enforcement Division



Sarah White, Attorney
Enforcement Division

Affidavit

THE STATE OF CA §

COUNTY OF San Joaquin §

Before me, the undersigned authority, personally appeared the affiant, who being by me duly sworn, deposed as follows:

"My name is Leticia Mendoza. I am of sound mind, capable of making this statement, and have personal knowledge of these facts which are true and correct.

I hold the office of Chief Financial Officer and am the authorized representative of Brookside General Insurance Services Inc. I am duly authorized by the organization to execute this statement.

Brookside General Insurance Services Inc. has knowingly and voluntarily entered into the foregoing consent order and agrees with and consents to the issuance and service of the same by the commissioner of insurance of the state of Texas."

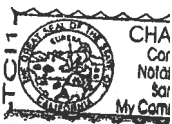
[Signature]
Affiant

SWORN TO AND SUBSCRIBED before me on December 2, 2019.

(NOTARY SEAL)

Signature of Notary Public

Printed Name of Notary Public



2020 - 6257

CALIFORNIA JURAT

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California
County of San Joaquin

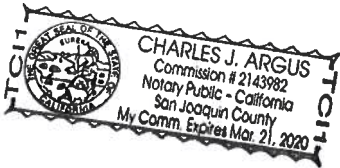
See Attached Document

Subscribed and sworn to (or affirmed) before me on this

2 day of DECEMBER, 2019 by
Date Month

LETICIA MENDOZA
Name of Signer

proved to me on the basis of satisfactory evidence to be the person who appeared before me.



Charles J. Argus
Signature of Notary Public

Place Notary Seal Above

-----OPTIONAL-----

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

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